



Treasury Department

Martin J. Walsh, Mayor

INDEPENDENT REGISTERED MUNICIPAL ADVISOR EXEMPTION

The City of Boston (the “City”) is posting this information for the purpose of providing market participants with representations pursuant to Rule 15Ba1-1(d)(3)(vi)(B) (the “Municipal Advisor Rule”) promulgated by the U.S. Securities and Exchange Commission under the Securities Exchange Act of 1934, as amended (the “Exchange Act”), regarding the involvement of the City’s independent registered municipal advisor (its “MA” or “financial advisor”) in providing advice regarding municipal financial products or the issuance of municipal securities.

The City is currently represented by and will rely, in part, on the advice of Hilltop Securities, Inc. to assist in evaluating recommendations relating to the issuance of municipal securities and municipal financial products (including the investment of bond proceeds and escrow investments). The individual at Hilltop Securities, Inc. with primary responsibility for advising the City on such matters is Susan Kendall. This certificate may be relied upon until June 30, 2018 or until such time that it is otherwise withdrawn by the City.

Any advice or information that you wish the City to consider will be reviewed by the Treasury team and should be sent to the following address:

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City of Boston Treasury Department
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Excepting the disclosures required pursuant to 17 CFR §240.15Ba1-1(d)(3)(vi)(C)(1), no advice or information should be sent directly to our financial advisor, and we have instructed our financial advisor to inform us if any advice or information is sent directly to them. The City will directly forward any advice or information that is seriously considered.